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UNITED STATES

2015 NOV 13 PM 3000 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SEC / MR

FORM 19b-4(e)

OMB APPROVAL

Estimated average burden

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OMB Number:

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3235-0504

July 31, 2016

*12	Information Required of a Self-Regulatory Organization Listing and Tradi Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exch	- ,
		SEC
	READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM	Mail Processing
Part I	Initial Listing Report	Section
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc.	Wasnington DC
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, co See Attached.	1 - 1
3.	Class of New Derivative Securities Product: See Attached.	
4.	Name of Underlying Instrument: See Attached.	15020944
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See Attached.	1
6.	Ticker Symbol(s) of New Derivative Securities Product: See Attached.	
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument	
8.	Trades: See Attached. Settlement Methodology of New Derivative Securities Product:	
<b>0.</b>	Trades Locked in at Exchange and settled at NSCC.	!
9	Position Limits of New Derivative Securities Product (if applicable):	
	Not Applicable.	
Part II	I Execution	
	The undersigned represents that the governing body of the above-referenced Self-Regulate approved, or has duly delegated its approval to the undersigned for, the listing and trading derivative securities product according to its relevant trading rules, procedures, surveillan standards.	g of the above-referenced new
Name (	of Official Responsible for Form: Anders Franzon	
Title:	VP, Associate General Counsel	
Teleph	none Number: 913-815-7154	
Manua	al Signature of Official Responsible for Form:	rities Exchange Act of 1934
Date:	November 12, 2015 Section 195-4 Rule 195-4	The state of the s
SEC 24	49 (6-01) Public Availability: NOV	/ 1 3 2015

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC. COMMENCING ON NOVEMBER 11, 2015

(6) Ticker Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
Symbol	<u>NDOL</u>	10) 01835 01 11001	mattament	motrument trades	Nanow
					,
		Investment Company	Goldman Sachs ActiveBeta		,
GSIE	Trust	Units	International Equity Index	World	Broad
		Investment Communi	AlmhaClana International		
		Investment Company	AlphaClone International		_ ,
ALFI	Trust	Units	Downside Hedged Index	World	Broad
			Morningstar Emerging		
ļ		Investment Company	Markets Factor Tilt Hedged		
TLEH	Trust	Units	Index	World	Broad
			Morningstar Developed		
-	•		•		
1		Investment Company	Markets ex-US Factor Tilt		
TLDH	Trust	Units	Hedged Index	U.S.	Broad



SEC
Mail Processing
Section
NOV 132015
Washington DC
404

November 12, 2015

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) - EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four (4) derivative securities products that commenced trading on EDGX Exchange on November 11, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

Act Securities Exchange Act of 1934

Section 19b-4
Rule 19b-4(e)

Public
Availability: NOV 1 3 2015